

REPORTS ANALYSIS DIVISION REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: January 24, 2013

ANALYST: Tyler Culberson

- I. COMMITTEE: Gillibrand for Senate
C00413914
Karen Feldman, Treasurer
236 Massachusetts Ave NE, Suite 110
Washington, DC 20002
- II. RELEVANT STATUTES: 11 CFR § 104.3(a) and (b)
2 U.S.C. § 434(b)(2) and (4)

III. BACKGROUND:

Failure to Provide Supporting Schedules (Failure to Disclose All Financial Activity)

Gillibrand for Senate ("the Committee") amended its 2009 April Quarterly, 2009 July Quarterly, 2009 October Quarterly, 2009 Year-End, 2010 April Quarterly, 2010 July Quarterly, 2010 12 Day Pre-Primary, 2010 October Quarterly, 2010 30 Day Post-General, 2010 Year-End, 2011 April Quarterly, and 2011 July Quarterly Reports to disclose additional receipts of \$47,486.25 and additional disbursements of \$240,064.67, which were not reported in the original reports (Attachment 2).

2009 April Quarterly Report

On April 15, 2009, the Committee filed the original 2009 April Quarterly Report covering the period from January 1, 2009 through March 31, 2009. The report disclosed \$338,233.06 in disbursements on Line 17 (Operating Expenditures) of the Detailed Summary Page (Image 29020161004).

On January 31, 2012, the Committee filed an Amended 2009 April Quarterly Report. The report disclosed \$351,845.09 in disbursements on Line 17 of the Detailed Summary Page, an increase of \$13,612.03 from the original report (Image 12020102529; Attachment 4).

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On January 31, 2012, the Committee filed a Miscellaneous Text Submission ("Form 99") in addition to its submitted amended reports. The Committee stated, in part:

"The Committee recently hired a new professional accounting firm to handle its accounting and compliance with FEC reporting requirements. In the process of reviewing the Committee's reports, the firm noticed some routine discrepancies in reports filed between 2009 and 2011.

The Committee has resolved these discrepancies and amended its reports accordingly" (Image 12020100913).

2009 July Quarterly Report

On July 15, 2009, the Committee filed the original 2009 July Quarterly Report covering the period from April 1, 2009 through June 30, 2009. The report disclosed \$1,088,781.66 in receipts on Line 11(a)(i) (Itemized Contributions from Individuals), \$103,004.09 in receipts on Line 11(a)(ii) (Unitemized Contributions from Individuals), and \$1,155.68 in receipts on Line 14 (Offsets to Operating Expenditures) of the Detailed Summary Page. The Committee also reported \$504,627.46 in disbursements on Line 17 (Operating Expenditures) of the Detailed Summary Page (Images 29020270892-3).

On October 20, 2009 and December 10, 2009, the Committee filed Amended 2009 July Quarterly Reports disclosing no changes to the Committee's receipts or disbursements (Images 29020430563-4 and 29020444636-7).

On January 31, 2012, the Committee filed an Amended 2009 July Quarterly Report disclosing \$1,095,104.74 in receipts on Line 11(a)(i), \$101,204.09 in receipts on Line 11(a)(ii), and \$1,184.93 in receipts on Line 14 of the Detailed Summary Page, a total increase in receipts of \$4,552.33 from the original report (Image 12020103904; Attachment 3). The Committee also disclosed \$515,639.92 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$11,012.46 from the original report (Image 12020103905; Attachment 4).

2009 October Quarterly Report

On October 15, 2009, the Committee filed the original 2009 October Quarterly Report covering the period from July 1, 2009 through September 30, 2009. The report disclosed \$1,238,445.88 in receipts on Line 11(a)(i) and \$87,201.32 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also reported \$676,825.43 in disbursements on Line 17 of the Detailed Summary Page (Images 29020362226-7).

On December 10, 2009, the Committee filed an Amended 2009 October Quarterly Report disclosing no changes to the Committee's receipts or disbursements (Images 29020444622-3).

On January 31, 2012, the Committee filed an Amended 2009 October Quarterly Report disclosing \$1,243,970.88 in receipts on Line 11(a)(i) and \$87,076.32 in receipts on Line 11(a)(ii) of the Detailed Summary Page, a total increase in receipts of \$5,400.00 from the original report (Image 12020103189; Attachment 3). The Committee also disclosed \$690,782.87 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$13,957.44 from the original report (Image 12020103190; Attachment 4).

2009 Year-End Report

On January 30, 2010, the Committee filed the original 2009 Year-End Report covering the period from October 1, 2009 through December 31, 2009. The report disclosed \$1,289,673.26 in receipts on Line 11(a)(i) and \$83,812.13 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also reported \$618,612.42 in disbursements on Line 17 of the Detailed Summary Page (Images 10020110014-5).

On April 20, 2010, the Committee filed an Amended 2009 Year-End Report disclosing no changes to the Committee's receipts or disbursements (Images 10020341150-1).

On January 31, 2012, the Committee filed an Amended 2009 Year-End Report disclosing \$1,292,173.26 in receipts on Line 11(a)(i) and \$82,912.13 in receipts on Line 11(a)(ii) of the Detailed Summary Page, a total increase in receipts of \$1,600.00 from the original report (Image 12020110003; Attachment 3). The Committee also disclosed \$640,047.35 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$21,434.93 from the original report (Image 12020110004; Attachment 4).

2010 April Quarterly Report

On April 15, 2010, the Committee filed the original 2010 April Quarterly Report covering the period from January 1, 2010 through March 31, 2010. The report disclosed \$1,112,512.25 in receipts on Line 11(a)(i), \$165,959.02 in receipts on Line 11(a)(ii), and \$231.23 in receipts on Line 14 of the Detailed Summary Page. The Committee also reported \$785,952.96 in disbursements on Line 17 of the Detailed Summary Page (Images 10020290007-8).

On July 27, 2010, the Committee filed an Amended 2010 April Quarterly Report disclosing \$1,124,215.25 in receipts on Line 11(a)(i), \$157,166.02 in receipts on Line 11(a)(ii), and \$231.23 in receipts on Line 14 of the Detailed Summary Page. The Committee also disclosed \$786,343.45 in disbursements on Line 17 of the Detailed Summary Page (Images 10020580987-8).

On October 14, 2010, the Committee filed an Amended 2010 April Quarterly Report disclosing \$1,124,725.25 in receipts on Line 11(a)(i), \$156,565.77 in receipts on Line 11(a)(ii), and \$231.23 in receipts on Line 14 of the Detailed Summary Page. The Committee also disclosed \$786,343.45 in disbursements on Line 17 of the Detailed Summary Page (Images 10020800137-8).

On January 31, 2012, the Committee filed an Amended 2010 April Quarterly Report disclosing \$1,122,425.25 in receipts on Line 11(a)(i), \$156,215.77 in receipts on Line 11(a)(ii), and \$8,870.15 in receipts on Line 14 of the Detailed Summary Page, a total increase in receipts of \$8,808.67 from the original report (Image 12020110809; Attachment 3). The Committee also disclosed \$895,666.96 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$19,714.00 from the original report (Image 12020110810; Attachment 4).

2010 July Quarterly Report

On July 15, 2010, the Committee filed the original 2010 July Quarterly Report covering the period from April 1, 2010 through June 30, 2010. The report disclosed \$1,487,966.00 in receipts on Line 11(a)(i) and \$87,867.15 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also reported \$744,714.08 in disbursements on Line 17 of the Detailed Summary Page (Images 10020472982-3).

On October 14, 2010, the Committee filed an Amended 2010 July Quarterly Report disclosing \$1,489,339.00 in receipts on Line 11(a)(i) and \$86,144.15 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also disclosed \$744,661.68 in disbursements on Line 17 of the Detailed Summary Page (Images 10020800144-5).

On January 31, 2012, the Committee filed an Amended 2010 July Quarterly Report disclosing \$1,493,004.00 in receipts on Line 11(a)(i) and \$85,479.15 in receipts on Line 11(a)(ii) of the Detailed Summary Page, a total increase in receipts of \$2,650.00 from the original report (Image 12020112374; Attachment 3). The Committee also disclosed \$770,324.95 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$25,610.87 from the original report (Images 12020112375; Attachment 4).

2010 12 Day Pre-Primary Report

On August 30, 2010, the Committee filed the original 2010 12 Day Pre-Primary Report covering the period from July 1, 2010 through August 25, 2010. The report disclosed \$3,191,701.22 in disbursements on Line 17 of the Detailed Summary Page (Image 10020624029).

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On December 1, 2010, the Committee filed an Amended 2010 12 Day Pre-Primary Report disclosing \$3,190,301.71 in disbursements on Line 17 of the Detailed Summary Page (Image 10021123080).

On January 31, 2012, the Committee filed an Amended 2010 12 Day Pre-Primary Report disclosing \$3,201,104.24 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$9,403.02 from the original report (Image 12020111722; Attachment 4).

2010 October Quarterly Report

On October 15, 2010, the Committee filed the original 2010 October Quarterly Report covering the period from August 26, 2010 through September 30, 2010. The report disclosed \$989,379.50 in receipts on Line 11(a)(i) and \$100,730.76 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also reported \$2,370,013.77 in disbursements on Line 17 of the Detailed Summary Page (Images 10020881956-7).

On March 4, 2011, the Committee filed an Amended 2010 October Quarterly Report disclosing \$992,109.50 in receipts on Line 11(a)(i) and \$98,020.76 in receipts on Line 11(a)(ii) of the Detailed Summary Page. The Committee also disclosed \$2,370,013.77 in disbursements on Line 17 of the Detailed Summary Page (Image 11020083747).

On January 31, 2012, the Committee filed an Amended 2010 October Quarterly Report disclosing \$999,344.50 in receipts on Line 11(a)(i) and \$93,145.76 in receipts on Line 11(a)(ii) of the Detailed Summary Page, a total increase in receipts of \$2,380.00 from the original report (Image 12020101863; Attachment 3). The Committee also disclosed \$2,388,469.50 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$18,455.73 from the original report (Image 12020101864; Attachment 4).

2010 30 Day Post-General Report

On December 2, 2010, the Committee filed the original 2010 30 Day Post-General Report covering the period from October 14, 2010 through November 22, 2010. The report disclosed \$351,670.60 in receipts on Line 11(a)(i) and \$34,254.60 in receipts on Line 11(a)(ii) of the Detailed Summary Page (Image 10021094143). The Committee also reported \$1,566,999.10 in disbursements on Line 17 of the Detailed Summary Page (Image 10021094145).

On March 4, 2011, the Committee filed an Amended 2010 30 Day Post-General Report disclosing \$351,313.10 in receipts on Line 11(a)(i) and \$33,313.35 in receipts on Line 11(a)(ii) of the Detailed Summary Page (Image 11020083219). The Committee also

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disclosed \$1,567,052.49 in disbursements on Line 17 of the Detailed Summary Page (Image 11020083221).

On January 31, 2012, the Committee filed an Amended 2010 30 Day Post-General Report disclosing \$362,308.10 in receipts on Line 11(a)(i) and \$33,588.35 in receipts on Line 11(a)(ii) of the Detailed Summary Page, a total increase in receipts of \$9,971.25 from the original report (Image 12020101315; Attachment 3). The Committee also disclosed \$1,579,989.47 in disbursements on Line 17 of the Detailed Summary Page, a total increase in disbursements of \$12,990.37 from the original report (Image 12020101317; Attachment 4).

2010 Year-End Report

On January 31, 2011, the Committee filed the original 2010 Year-End Report covering the period from November 23, 2010 through December 31, 2010. The report disclosed \$275,932.42 in disbursements on Line 17 of the Detailed Summary Page (Image 11020060008).

On May 6, 2011, the Committee filed an Amended 2010 Year-End Report disclosing no changes to the Committee's disbursements (Image 11020203312).

On January 31, 2012, the Committee filed an Amended 2010 Year-End Report. The report disclosed \$277,115.24 in disbursements on Line 17 of the Detailed Summary Page, an increase of \$1,182.82 from the original report (Image 12020112167; Attachment 4).

2011 April Quarterly Report

On April 15, 2011, the Committee filed the original 2011 April Quarterly Report covering the period from January 1, 2011 through March 31, 2011. The report disclosed \$2,761,978.19 in receipts on Line 11(a)(i), \$101,973.67 in receipts on Line 11(a)(ii), and \$284,416.00 in receipts on Line 11(c) (Contributions from Other Political Committees) of the Detailed Summary Page. The Committee also reported \$510,075.35 in disbursements on Line 17 and no disbursements on Line 20(a) (Refunds of Contributions to Individuals) of the Detailed Summary Page (Images 11020180007-8).

On May 6, 2011, the Committee filed an Amended 2011 April Quarterly Report disclosing \$2,762,178.19 in receipts on Line 11(a)(i) and \$101,773.67 in receipts on Line 11(a)(ii), and \$285,175.00 in receipts on Line 11(c) of the Detailed Summary Page. The Committee also disclosed \$510,834.35 in disbursements on Line 17 and \$1,855.00 in disbursements on Line 20(a) of the Detailed Summary Page (Images 11020203388-9).

On January 31, 2012, the Committee filed an Amended 2011 April Quarterly Report disclosing \$2,774,028.19 in receipts on Line 11(a)(i), \$101,288.67 in receipts on Line 11(a)(ii), and \$285,175.00 in receipts on Line 11(c) of the Detailed Summary Page,

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a total increase in receipts of \$12,124.00 from the original report (Image 12020113422; Attachment 3). The Committee also disclosed \$556,720.12 in disbursements on Line 17 and \$1,855.00 on Line 20(a) of the Detailed Summary Page, a total increase in disbursements of \$48,499.77 from the original report (Image 12020113423; Attachment 4).

2011 July Quarterly Report

On July 15, 2011, the Committee filed the original 2011 July Quarterly Report covering the period from April 1, 2011 through June 30, 2011. The report disclosed \$605,661.91 in disbursements on Line 17 of the Detailed Summary Page (Image 11020250960).

On January 31, 2012, the Committee filed an Amended 2011 July Quarterly Report disclosing \$649,853.14 in disbursements on Line 17 of the Detailed Summary Page (Image 12020120005).

On April 13, 2012, the Committee filed an Amended 2011 July Quarterly Report disclosing no changes to the Committee's disbursements (Image 12020231237).

On May 4, 2012, the Committee filed an Amended 2011 July Quarterly Report disclosing \$649,853.14 in disbursements on Line 17 of the Detailed Summary Page, an increase of \$44,191.23 from the original report (Image 12020361507; Attachment 4).

On June 21, 2012, a Request for Additional Information (RAI) was sent to the Committee referencing the 2009 Amended April Quarterly, July Quarterly, October Quarterly, and Year End Reports, the 2010 Amended April Quarterly, July Quarterly, 12 Day Pre-Primary, October Quarterly, 12 Day Pre-General, 30 Day Post-General, and Year End Reports, and the 2011 April Quarterly and July Quarterly Reports. Among other items, the RAI requested clarification regarding the increase in disbursements that were not disclosed in the original reports (Image 12330008461).

On July 23, 2012, the Committee filed an Amended 2011 July Quarterly Report¹ disclosing no further changes to the Committee's disbursements (Image 12020600632).

On August 1, 2012, the Committee filed a Form 99 in response to the RAI. The Committee stated, in part:

"You have asked about increases in receipts and disbursements on a series of reports filed by the Committee. In August of 2011 the Committee hired an accounting firm to prepare its FEC reports. In the course of a general review of past reports, the new firm discovered a number of items that had not been reported accurately on the original reports. Immediately upon discovery of these items, the Committee took proactive steps to correct the

¹ The Committee labeled the report as an amended 2011 12 Day Pre-Primary Report. For the purposes of this referral, this report is considered to be an amended 2011 July Quarterly Report because it has the same coverage dates as previously-filed 2011 July Quarterly Reports.

public record by preparing a series of comprehensive amendments to correct the identified items.

Many of the corrections were routine discrepancies, such as duplicate entries and missing donor information. The predominant change on the disbursement side was the addition of merchant fees that were not on the original reports. There were a handful of receipts added to the reports but the majority of changes were to correct the amount of a contribution or to combine duplicate records.

The campaign currently has systems in place to address the Committee's volume of activity and feels confident that this situation will not occur again" (Image 12020611971).

On September 17, 2012, the Reports Analysis Division (RAD) Analyst left a voice mail message for Sheri Grover, an employee of the Committee. The Analyst explained that the matter involving increased activity on the 2009-2011 amended reports would be forwarded to another office for further Commission action. In the voice mail, the Analyst referenced the Form 99 received on August 1, 2012, and said the Committee had an option to provide further clarification on this matter (Attachment 5).

On September 19, 2012, Marc Elias, Legal Counsel for the Committee, called the RAD Analyst. Mr. Elias wanted to know whether the Committee was being asked to provide further information related to the issue of increased activity that was being referred to another office. The Analyst informed Mr. Elias that the Committee could provide additional explanation of the increased activity at this time, but was not required to do so (Attachment 5).

To date, no further communications have been received from the Committee regarding this matter.

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O-Index

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O-Index (2013-2014)													
Cmte. ID: C00413914 Cmte. Name: GILLIBRAND FOR SENATE Treasurer Name: FELDMAN, KAREN Address: 236 MASSACHUSETTS AVE NE SUITE 110, WASHINGTON, DC 20002 Cmte. Type: S (SENATE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER													
Form Tp	Rpt Tp	AI	Recpt Dt	Pgs	Begin Img#	Reg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debits & Loans

No records were found for this cycle.

O-Index (2011-2012)													
Cmte. ID: C00413914 Cmte. Name: GILLIBRAND FOR SENATE Treasurer Name: FELDMAN, KAREN Address: 236 MASSACHUSETTS AVE NE SUITE 110, WASHINGTON, DC 20002 Cmte. Type: S (SENATE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER													
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MS-T			1/31/2012	3	12020100913	4/1/2011	6/30/2011						
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RQ2	Q2	A	6/21/2012	4	12330008461	4/1/2011	6/30/2011	6/21/2012					
RQ2	Q2	N	8/31/2012	2	11330013147	4/1/2011	6/30/2011	8/31/2011					
RQ2	YE	A	6/18/2012	2	12330008212	10/1/2011	12/31/2011	6/18/2012					
F3	Q1	N	4/15/2011	936	11020180005	1/1/2011	3/31/2011		\$613,741	\$3,279,255	\$512,584	\$3,380,413	\$0
F3	Q1	A	5/6/2011	941	11020203386	1/1/2011	3/31/2011		\$613,761	\$3,280,014	\$515,196	\$3,378,578	\$0
F3	Q1	A	1/31/2012	950	12020113420	1/1/2011	3/31/2011		\$496,384	\$3,291,379	\$561,084	\$3,226,679	\$0
F3	Q2	N	7/15/2011	1141	11020205957	1/1/2011	3/31/2011		\$3,378,578	\$3,067,457	\$606,661	\$5,840,373	\$0
F3	Q2	A	1/31/2012	1159	12020120001	4/1/2011	6/30/2011		\$3,226,679	\$3,067,493	\$649,853	\$5,644,320	\$0
F3	Q2	A	4/13/2012	7	12020231234	4/1/2011	6/30/2011		\$3,226,679	\$3,067,493	\$649,853	\$5,644,320	\$0
F3	Q2	A	5/4/2012	8	12020361504	4/1/2011	6/30/2011		\$3,226,679	\$3,067,493	\$649,853	\$5,644,320	\$0
F3	Q2	A	7/23/2012	14	12020600629	4/1/2011	6/30/2011		\$3,226,679	\$3,067,493	\$649,853	\$5,644,320	\$0
F3	Q2	A	11/15/2012	16	12021084024	4/1/2011	6/30/2011		\$5,840,373	\$2,033,523	\$719,513	\$7,154,384	\$0
F3	Q3	N	10/14/2011	889	11020412618	7/1/2011	9/30/2011		\$5,644,320	\$2,033,523	\$719,487	\$6,958,356	\$0
F3	Q3	A	1/31/2012	899	12020121160	7/1/2011	9/30/2011		\$5,644,320	\$2,033,523	\$719,487	\$6,958,356	\$0
F3	Q3	A	5/4/2012	8	12020361512	7/1/2011	9/30/2011		\$5,644,320	\$2,033,523	\$719,487	\$6,958,356	\$0
F3	Q3	A	7/23/2012	15	12020600614	7/1/2011	9/30/2011		\$5,644,320	\$2,033,523	\$719,487	\$6,958,356	\$0
F3	YE	N	1/31/2012	946	12020070001	10/1/2011	12/31/2011		\$6,958,356	\$1,819,245	\$651,830	\$8,125,771	\$0
F3	YE	A	5/23/2012	8	12020380715	10/1/2011	12/31/2011		\$8,125,771	\$1,819,245	\$651,830	\$8,125,771	\$0
F3	Q1	N	4/13/2012	966	12020231248	1/1/2012	3/31/2012		\$8,125,771	\$1,545,128	\$555,704	\$9,115,195	\$0
F3	12P	N	6/14/2012	846	12020400001	4/1/2012	6/6/2012		\$9,115,195	\$1,309,328	\$495,751	\$9,938,772	\$0
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F3	12G	N	10/22/2012	231	12020984648	10/1/2012	10/17/2012		\$2,584,478	\$236,659	\$466,894	\$2,354,243	\$0
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F3	30G	N	12/6/2012	369	12021252918	10/18/2012	11/26/2012		\$2,354,243	\$269,716	\$612,199	\$2,111,760	\$0
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MS-T					1/26/2009	1	29931977019										
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RQ2			Q2	A	11/5/2009	3	29030182155		4/1/2009	6/30/2009	11/5/2009						
RQ2			Q3	N	11/5/2009	3	29030182152		7/1/2009	9/30/2009	11/5/2009						
RQ2			YE	N	3/18/2010	8	10030271247		10/1/2009	12/31/2009	3/18/2010						
RQ2			Q1	N	6/22/2010	8	10330001191		1/1/2010	3/31/2010	6/22/2010						
RQ2			Q1	A	9/9/2010	2	10330001826		1/1/2010	3/31/2010	9/9/2010						
RQ2			Q2	N	9/9/2010	6	10330001828		4/1/2010	6/30/2010	9/9/2010						
RQ2			12P	N	11/1/2010	7	10330002390		7/1/2010	8/25/2010	11/1/2010						
RQ2			Q3	N	1/28/2011	3	11330004161		8/26/2010	9/30/2010	1/28/2011						

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Attachment 1

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Attachment 1

Gillibrand for Senate (C00413914)

Overview of Additional Receipts

Report Name	Total Additional Receipts	Line Number(s)
2009 July Quarterly Report/Amended 2009 July Quarterly Report, received 1/31/12	\$4,552.33	11(a)(i), 11(a)(ii), 14
2009 October Quarterly Report/Amended 2009 October Quarterly Report, received 1/31/12	\$5,400.00	11(a)(i), 11(a)(ii)
2009 Year-End Report/Amended 2009 Year-End Report, received 1/31/12	\$1,600.00	11(a)(i), 11(a)(ii)
2010 April Quarterly Report/Amended 2010 April Quarterly Report, received 1/31/12	\$8,808.67	11(a)(i), 11(a)(ii), 14
2010 July Quarterly Report/Amended 2010 July Quarterly Report, received 1/31/12	\$2,650.00	11(a)(i), 11(a)(ii)
2010 October Quarterly Report/Amended 2010 October Quarterly Report, received 1/31/12	\$2,380.00	11(a)(i), 11(a)(ii)
2010 30 Day Post-General Report/Amended 2010 30 Day Post-General Report, received 1/31/12	\$9,971.25	11(a)(i), 11(a)(ii)
2011 April Quarterly Report/Amended 2011 April Quarterly Report, received 1/31/12	\$12,124.00	11(a)(i), 11(a)(ii), 11(c)
Total Additional Receipts	\$47,486.25	

Overview of Additional Disbursements

Report Name	Total Additional Disbursements	Line Number(s)
2009 April Quarterly Report/Amended 2009 April Quarterly Report, received 1/31/12	\$13,612.03	17
2009 July Quarterly Report/Amended 2009 July Quarterly Report, received 1/31/12	\$11,012.46	17
2009 October Quarterly Report/Amended 2009 October Quarterly Report, received 1/31/12	\$13,957.44	17
2009 Year-End Report/Amended 2009 Year-End Report, received 1/31/12	\$21,434.93	17
2010 April Quarterly Report/Amended 2010 April Quarterly Report, received 1/31/12	\$19,714.00	17
2010 July Quarterly Report/Amended 2010 July Quarterly Report, received 1/31/12	\$25,610.87	17
2010 12 Day Pre-Primary Report/Amended 2010 12 Day Pre-Primary Report, received 1/31/12	\$9,403.02	17
2010 October Quarterly Report/Amended 2010 October Quarterly Report, received 1/31/12	\$18,455.73	17
2010 30 Day Post-General Report/Amended 2010 30 Day Post-General Report, received 1/31/12	\$12,990.37	17
2010 Year-End Report/Amended 2010 Year-End Report, received 1/31/12	\$1,182.82	17
2011 April Quarterly Report/Amended 2011 April Quarterly Report, received 1/31/12	\$48,499.77	17, 20(a)
2011 July Quarterly Report/Amended 2011 July Quarterly Report, received 7/23/12	\$44,191.23	17
Total Additional Disbursements	\$240,064.67	

Total Increase in Activity ■ Amended Reports: \$287,550.92

Gillibrand for Senate (C00413914)

Additional Receipts by Line Number

2009 July Quarterly Report/Amended 2009 July Quarterly Report, received 1/31/12

Report Line	2009 July Quarterly Report (Image 29020270826)	Amended 2009 July Quarterly Report, received 1/31/12 (Image 12020163962)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$1,088,781.66	\$1,095,104.74	\$6,323.08
Line 11(a)(ii): Unitemized Contributions from Individuals	\$103,004.09	\$101,204.09	(\$1,800.00)
Line 14: Offsets to Operating Expenditures	\$1,155.68	\$1,184.93	\$29.25
		Total Variance:	\$4,552.33

2009 October Quarterly Report/Amended 2009 October Quarterly Report, received 1/31/12

Report Line	2009 October Quarterly Report (Image 29020362224)	Amended 2009 October Quarterly Report, received 1/31/12 (Image 12020103187)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$1,238,445.88	\$1,243,970.88	\$5,525.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$87,201.32	\$87,076.32	(\$125.00)
		Total Variance:	\$5,400.00

2009 Year-End Report/Amended 2009 Year-End Report, received 1/31/12

Report Line	2009 Year-End Report (Image 10020110011)	Amended 2009 Year-End Report, received 1/31/12 (Image 12020110001)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$1,289,673.26	\$1,292,173.26	\$2,500.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$83,812.13	\$82,912.13	(\$900.00)
Total Variance:			\$1,600.00

2010 April Quarterly Report/Amended 2010 April Quarterly Report, received 1/31/12

Report Line	2010 April Quarterly Report (Image 1002029105)	Amended 2010 April Quarterly Report, received 1/31/12 (Image 1202011133)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$1,112,512.25	\$1,122,425.25	\$9,913.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$165,959.02	\$156,215.77	(\$9,743.25)
Line 14: Offsets to Operating Expenditures	\$231.23	\$8,870.15	\$8,638.92
Total Variance:			\$8,808.67

2010 July Quarterly Report/Amended 2010 July Quarterly Report, received 1/31/12

Report Line	2010 July Quarterly Report (Image 10020472980)	Amended July Quarterly Report, received 1/31/12 (Image 12020112372)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$1,487,966.00	\$1,493,004.00	\$5,038.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$87,867.15	\$85,479.15	(\$2,388.00)
Total Variance:			\$2,650.00

2010 October Quarterly Report/Amended 2010 October Quarterly Report, received 1/31/12

Report Line	2010 October Quarterly Report (Image 10020881953)	Amended October Quarterly Report received 1/31/12 (Image 12020101861)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$989,379.50	\$999,344.50	\$9,965.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$100,730.76	\$93,145.76	(\$7,585.00)
		Total Variance:	\$2,380.00

2010 30 Day Post-General Report/Amended 30 Day Post-General Report, received 1/31/12

Report Line	2010 October Quarterly Report (Image 1002109111)	Amended October Quarterly Report, received 1/31/12 (Image 12020101313)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$351,670.60	\$362,308.10	\$10,637.50
Line 11(a)(ii): Unitemized Contributions from Individuals	\$34,254.60	\$33,588.35	(\$666.25)
		Total Variance:	\$9,971.25

2011 April Quarterly Report/Amended 2011 April Quarterly Report, received 1/31/12

Report Line	2010 April Quarterly Report (Image 11020180005)	Amended 2010 April Quarterly Report, received 1/31/12 (Image 12020113420)	Variance Amount
Line 11(a)(i): Itemized Contributions from Individuals	\$2,761,978.19	\$2,774,028.19	\$12,050.00
Line 11(a)(ii): Unitemized Contributions from Individuals	\$101,973.67	\$101,288.67	(\$685.00)
Line 11(c): Contributions from Other Political Committees	\$284,416.00	\$285,175.00	\$759.00
		Total Variance:	\$12,124.00

Gillibrand for Senate (C00413914)

Additional Disbursements by Line Number

2009 April Quarterly Report/Amended 2009 April Quarterly Report, received 1/31/12

Report Line	2009 April Quarterly Report (Image 29020161601)	Amended 2009 April Quarterly Report, received 1/31/12 (Image 12020162526)	Variance Amount
Line 17: Operating Expenditures	\$338,233.06	\$351,845.09	\$13,612.03
Total Variance:			\$13,612.03

2009 July Quarterly Report/Amended 2009 July Quarterly Report, received 1/31/12

Report Line	2009 July Quarterly Report (Image 29020273900)	Amended 2009 July Quarterly Report, received 1/31/12 (Image 12020163902)	Variance Amount
Line 17: Operating Expenditures	\$504,627.46	\$515,639.92	\$11,012.46
Total Variance:			\$11,012.46

2009 October Quarterly Report/Amended 2009 October Quarterly Report, received 1/31/12

Report Line	2009 October Quarterly Report (Image 29020362224)	Amended 2009 October Quarterly Report, received 1/31/12 (Image 12020103187)	Variance Amount
Line 17: Operating Expenditures	\$676,825.43	\$690,782.87	\$13,957.44
Total Variance:			\$13,957.44

2009 Year-End Report/Amended 2009 Year-End Report, received 1/31/12

Report Line	2009 Year-End Report (Image 10020110011)	Amended 2009 Year-End Report, received 1/31/12 (Image 12020110001)	Variance Amount
Line 17: Operating Expenditures	\$618,612.42	\$640,047.35	\$21,434.93
		Total Variance:	\$21,434.93

2010 April Quarterly Report/Amended 2010 April Quarterly Report, received 1/31/12

Report Line	2010 April Quarterly Report (Image 10020290005)	Amended 2010 April Quarterly Report, received 1/31/12 (Image 12020110807)	Variance Amount
Line 17: Operating Expenditures	\$785,952.96	\$805,666.96	\$19,714.00
		Total Variance:	\$19,714.00

2010 July Quarterly Report/Amended 2010 July Quarterly Report, received 1/31/12

Report Line	2010 July Quarterly Report (Image 10020472980)	Amended 2010 July Quarterly Report, received 1/31/12 (Image 12020112572)	Variance Amount
Line 17: Operating Expenditures	\$744,714.08	\$770,324.95	\$25,610.87
		Total Variance:	\$25,610.87

2010 12 Day Pre-Primary Report/Amended 2010 12 Day Pre-Primary Report, received 1/31/12

Report Line	2010 12 Day Pre-Primary Report (Image 10020624026)	Amended 2010 12 Day Pre-Primary Report, received 1/31/12 (Image 12020111719)	Variance Amount
Line 17: Operating Expenditures	\$3,191,701.22	\$3,201,104.24	\$9,403.02
Total Variance:			\$9,403.02

2010 October Quarterly Report/Amended 2010 October Quarterly Report, received 1/31/12

Report Line	2010 October Quarterly Report (Image 10020887055)	Amended 2010 October Quarterly Report, received 1/31/12 (Image 12020111861)	Variance Amount
Line 17: Operating Expenditures	\$2,370,013.77	\$2,388,469.50	\$18,455.73
Total Variance:			\$18,455.73

2010 30 Day Post-General Report/Amended 2010 30 Day Post-General Report, received 1/31/12

Report Line	2010 30 Day Post-General Report (Image 10021094141)	Amended 2010 30 Day Post-General Report, received 1/31/12 (Image 12020101313)	Variance Amount
Line 17: Operating Expenditures	\$1,566,999.10	\$1,579,989.47	\$12,990.37
Total Variance:			\$12,990.37

2010 Year-End Report/Amended 2010 Year-End Report, received 1/31/12

Report Line	2010 Year-End Report (Image 11020060005)	Amended 2010 Year-End Report, received 1/31/12 (Image 12020112164)	Variance Amount
Line 17: Operating Expenditures	\$275,932.42	\$277,115.24	\$1,182.82
Total Variance:			\$1,182.82

2011 April Quarterly Report/Amended 2011 April Quarterly Report, received 1/31/12

Report Line	2011 April Quarterly Report (Image 11020180005)	Amended 2011 April Quarterly Report, received 1/31/12 (Image 12020113420)	Variance Amount
Line 17: Operating Expenditures	\$510,075.35	\$556,720.12	\$46,644.77
Line 20(a): Refunds of Contributions to Individuals	\$0.00	\$1,855.00	\$1,855.00
Total Variance:			\$48,499.77

2011 July Quarterly Report/Amended 2011 July Quarterly Report, received 7/23/12

Report Line	2011 July Quarterly Report (Image 11020250957)	Amended 2011 July Quarterly Report, received 7/23/12 (Image 12020600629)	Variance Amount
Line 17: Operating Expenditures	\$605,661.91	\$649,853.14	\$44,191.23
Total Variance:			\$44,191.23